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On a Class of Bazilevič Functions

O pewnej klasie funkcji Bazylewicza

O некотором классе функций Базилевича

1. INTRODUCTORY REMARKS

Let  $f$ ,  $f(z) = z + a_2z^2 + \dots$ , be a function analytic in the unit disk  $\Delta$  such that

$$(1.1) \quad z^{-1}f(z)f'(z) \neq 0 \quad \text{in } \Delta.$$

Not so long ago P.T. Mocanu [6] considered a class  $S(\alpha)$  of analytic functions  $f$  that satisfy (1.1) and the condition

$$(1.2) \quad \operatorname{Re} \left\{ (1 - \alpha) \frac{zf'(z)}{f(z)} + \alpha \left( 1 + \frac{zf''(z)}{f'(z)} \right) \right\} > 0$$

for  $\alpha \in \langle 0, 1 \rangle$  and  $z$  in  $\Delta$ .

It was shown that  $S(\alpha)$  is a class of univalent functions which, moreover, map  $\Delta$  onto domains starlike w.r.t. the origin. It is easy to notice that (1.2) is obtained by forming a "linear combination" of two conditions for starlikeness and

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convexity, respectively.

Recently it was shown [3] that this condition may be replaced by a much general one which, however, implies univalence and starlikeness of  $f$ .

One can easily check that if  $-\frac{1}{2} \leq \beta < 1$ , then the condition

$$(1.3) \quad \operatorname{Re} \left\{ 1 + \frac{zf''(z)}{f'(z)} \right\} > \beta \quad \text{in } \Delta$$

implies univalence of  $f$ . Let

$$\operatorname{Re} \left( \frac{zf''(z)}{f'(z)} + 1 \right) > -\frac{1}{2}.$$

A simple calculation gives

$$\frac{1}{3} \left( 2 \frac{zf''(z)}{f'(z)} + 3 \right) = \frac{zg'(z)}{g(z)}$$

where  $g \in S^*$ .

Then

$$\operatorname{Re} \frac{zf'(z)}{g(z)} > 0.$$

This shows that  $f$  is close-to-convex.

Hence  $f$  is univalent. Moreover,  $f$  maps  $\Delta$  onto a domain convex in at least one direction.

This remark raises a natural question, if the following condition

$$(1.4) \quad \operatorname{Re} \left\{ (1 - \alpha)(1 - \beta) \frac{zf'(z)}{f(z)} + \alpha(1 - \beta) + \frac{zf''(z)}{f'(z)} \right\} > 0$$

for real  $\alpha$  and  $-\frac{1}{2} \leq \beta < 1$  guarantees univalence of  $f$ .

It will be shown in this paper that functions  $f$  subject to

(1.4) for some values of  $\alpha$  are indeed univalent and some extremal properties of  $f$  will be investigated.

Many results earlier obtained by several authors follow from ours as special cases.

## 2. A CLASS OF BAZILEVIČ FUNCTIONS

We denote by  $F(\alpha, \beta)$  the class of all analytic functions that satisfy (1.1) and (1.4) in  $\Delta$ .

First we prove

**THEOREM 2.1.** Suppose  $f$  is in  $F(\alpha, \beta)$  and

$$(2.1) \quad \left\{ \begin{array}{l} -\frac{1}{2} \leq \beta < 0 \quad \text{and} \quad 0 < \alpha < 1 - \beta^{-1} \\ \text{or} \\ 0 < \beta < 1 \quad \text{and} \quad \alpha < 1 - \beta^{-1} \quad \text{or} \quad \alpha > 0 \\ \text{or} \\ \beta = 0 \quad \text{and} \quad \alpha > 0. \end{array} \right.$$

Then  $f$  is univalent in  $\Delta$  and it has the form

$$(2.2) \quad f(z) = \left[ m \int_0^z \zeta^{-1} \left( \frac{\zeta}{g(\zeta)} \right)^\beta g^m(\zeta) d\zeta \right]^{\frac{1}{m}} = z + \dots$$

where  $g(z) = z + \dots$  is a starlike and univalent function in  $\Delta$  and  $m = 1 + \alpha^{-1}(1 - \alpha)(1 - \beta)$ ,  $\alpha \neq 0$ .

**P r o o f.** If  $g$  satisfies the conditions stated above then  $\operatorname{Re} \left\{ \frac{zg'(z)}{g(z)} \right\} > 0$  in  $\Delta$  and (1.4) may have form

$$\begin{aligned} (1 - \alpha)(1 - \beta) \frac{zf''(z)}{f'(z)} + \alpha(1 - \beta) \frac{zf''(z)}{f'(z)} &= \\ &= (1 - \beta) \frac{zg'(z)}{g(z)} \end{aligned}$$

Some easy and straightforward computations show that this equation has a formal solution of the form (2.2). The integral involved here converges provided  $m > 0$ . It gives (2.1).

For  $\beta \in \langle 0, 1 \rangle$ ,  $F(\alpha, \beta)$  is a subclass of the class of analytic functions introduced by Mocanu.

We may consider this problem for  $\beta \in \langle -\frac{1}{2}, 0 \rangle$ .

Then, by  $|\frac{1}{2} \arg \frac{z}{g(z)}| \leq \frac{\pi}{2}$   
we have  $|\beta \arg \frac{z}{g(z)}| < |\beta| \pi < \frac{\pi}{2}$ .

Hence  $\operatorname{Re}\left\{\left(\frac{z}{g(z)}\right)^\beta\right\} > 0$  in  $\Delta$ .

Now, for  $t \geq 0$  the family  $\{f(z, t)\}$ ,  $z \in \Delta$ ,

$$f(z, t) = \left[ m \int_0^z \left[ t \frac{\xi g'(\xi)}{g(\xi)} + \left(\frac{\xi}{g(\xi)}\right)^\beta \right] g^m(\xi) \xi^{-1} d\xi \right]^{1/m}$$

is a subordination chain over the interval  $t \geq 0$  in the sense of Pommerenke [7].

Hence, by Pommerenke's theorem [7]  $f(z, t)$  is analytic and univalent in  $\Delta$  for each  $t \geq 0$ .

It shows also, that  $F(\alpha, \beta)$  is a subclass of Bazilevič functions defined in [1].

REMARK 1. For any real  $\alpha$  and  $-\frac{1}{2} \leq \beta < 1$  the identity function belongs to  $F(\alpha, \beta)$  so that  $F(\alpha, \beta)$  is not empty. Since our method of proof cannot be applied to values of  $\beta$ ,  $\alpha$  other than given by (2.1) the question of univalence of  $f$ ,  $f \in F(\alpha, \beta)$  for those values of  $\alpha$  remains open.

REMARK 2. It seems plausible that each  $f$  of the class  $F(\alpha, \beta)$  is close-to-convex. However, we were not able to prove it.



3. SOME EXTREMAL PROBLEMS WITHIN THE CLASS  $F(\alpha, \beta)$ 

We shall consider here some distortion problems and we shall give bounds for initial Taylor coefficients of  $f$ .

Let  $\Gamma$  denote the gamma function of Euler and  $F(a, b, c; z)$  be the analytic functions for  $z$  in  $\Delta$  defined by

$$F(a, b, c; z) = \frac{\Gamma(c)}{\Gamma(b)\Gamma(c-b)} \int_0^1 u^{b-1}(1-u)^{c-b-1}(1-zu)^{-a} du$$

where  $\operatorname{Re} b > 0$ ,  $\operatorname{Re}(c-b) > 0$ .

Put

$$K(\alpha, \beta, r) = r \left[ F\left(\frac{2(1-\beta)}{\alpha}, m, m+1, r\right) \right]^{\frac{1}{m}}$$

$$f_{\theta}(\alpha, \beta, z) = \left[ m \int_0^z \xi^{m-1} (1 - e^{i\theta} \xi)^{\frac{-2(1-\beta)}{\alpha}} d\xi \right]^{\frac{1}{m}}$$

where  $m = 1 + \frac{(1-\alpha)(1-\beta)}{\alpha}$ ,  $\alpha \neq 0$ ,  $0 \leq \theta < 2\pi$ .

We start with

**THEOREM 3.1.** If  $f$  satisfies conditions of Th.2.1 and  $|z| = r$  then

$$(3.1) \quad -K(\alpha, \beta, -r) \leq |f(z)| \leq K(\alpha, \beta, r) \quad \text{for } \alpha > 0,$$

or

$$(3.2) \quad K(\alpha, \beta, r) \leq |f(z)| \leq -K(\alpha, \beta, -r) \quad \text{for } \alpha < 0$$

This result is sharp. Equality occurs for the function

$f_{\theta}(\alpha, \beta, z)$  with suitably chosen  $\theta$ .

P r o o f. In view of Th. 2.1 we have

$$(*) \quad f(z) = \left[ m \int_0^z \zeta^{m-1} \left( \frac{g(\zeta)}{\zeta} \right)^{\frac{1-\beta}{\alpha}} d\zeta \right]^{\frac{1}{m}}.$$

Since  $g$  is univalent normalized starlike function we have

$$(**) \quad \frac{|\zeta|}{(1+|\zeta|)^2} \leq |g(\zeta)| \leq \frac{|\zeta|}{(1-|\zeta|)^2}.$$

Suppose now  $z_0$  is a point on the circumference  $|z| = r$  such that

$$|f(z_0)| = \min_{0 \leq \theta \leq 2\pi} |f(re^{i\theta})|$$

and  $\gamma$  denotes the pre-image under  $f$  of the segment  $[0, f(z_0)]$ . Consider first case  $\alpha > 0$ .

In view of (\*) and (\*\*) we get

$$\begin{aligned} |f(z_0)|^m &= m \int_{\gamma} \zeta^{m-1} \left| \frac{g(\zeta)}{\zeta} \right|^{\frac{1-\beta}{\alpha}} |d\zeta| \geq \\ &\geq m \int_0^r t^{m-1} (1+t)^{\frac{-2(1-\beta)}{\alpha}} dt = \\ &= m r^m \int_0^1 u^{m-1} (1+ru)^{\frac{-2(1-\beta)}{\alpha}} du. \end{aligned}$$

Hence  $|f(z)| \geq |f(z_0)| \geq -K(\alpha, \beta, -r)$ .

The proof of (3.1) for the upper bound of  $|f(z)|$  is a similar one. The proof of (3.2) is analogous.

COROLLARY 1. If  $f$  satisfies conditions of Th 2.1 then

$$|a_2| \leq \frac{2(1-\beta)}{|(1-\alpha)(1-\beta) + 2\alpha|}$$

**P r o o f.** It is sufficient to assume  $a_2$  to be real.

Consider first case  $\alpha > 0$ . We find:

$$K(\alpha, \beta, r) = r + \frac{2(1 - \beta)}{(1 - \alpha)(1 - \beta) + 2\alpha} r^2 + O(r^3)$$

$$\text{and } |f(r)| = r + a_2 r^2 + O(r^3),$$

and in view of Th. 3.1 (3.1) we get:

$$a_2 \leq \frac{2(1 - \beta)}{(1 - \alpha)(1 - \beta) + 2\alpha}.$$

If  $\alpha < 0$  we reason in a similar manner but we make use of Th. 3.1 (3.2).

**COROLLARY 2.** If  $f$  satisfies conditions of Th. 2.1,

then

$$\bigcap_{f \in F(\alpha, \beta)} F(\Delta) = \{w, |w| < d(\alpha, \beta)\}$$

where

$$d(\alpha, \beta) = \begin{cases} \left[ F\left(\frac{2(1 - \beta)}{\alpha}, m, m + 1, -1\right) \right]^{\frac{1}{m}} & \text{for } \alpha > 0 \\ \left[ F\left(\frac{2(1 - \beta)}{\alpha}, m, m + 1, 1\right) \right]^{\frac{1}{m}} & \text{for } \alpha < 0. \end{cases}$$

**P r o o f:** It is sufficient to notice that  $-K(\alpha, \beta, -r)$  and  $K(\alpha, \beta, r)$  are an increasing function of  $r$  and then let  $r$  tend to 1 in the l.h.s. of (3.1) and of (3.2).

Let  $L(r)$ ,  $A(r)$  denote the length of the curve  $C$ ,

$C = f(re^{i\theta})$   $0 \leq \theta < 2\pi$  and the area of the region bounded by  $C$ , respectively.

**THEOREM 3.2.** If  $f \in F(\alpha, \beta)$ ,  $\alpha \neq 0$ ,  $T = T(\alpha, \beta) =$   

$$= \frac{|(1 - \alpha)(1 - \beta)| + |\alpha\beta| + 1 - \beta}{|\alpha|}$$

$$M(r) = \max_{0 \leq \theta < 2\pi} |f(re^{i\theta})|, \text{ then}$$

$$(1) \quad 2M(r) \leq L(r) \leq 2\pi TM(r).$$

If  $f$  satisfies the conditions of Th. 2.1, then

$$(11) \quad 2\pi A(r)^{1/2} \leq L(r) \leq \frac{8\pi}{r} \left[ \pi A(r) \log \frac{1}{1-r^2} \right]^{1/2}$$

Proof. Suppose  $g$  is a univalent starlike function in  $\Delta$ . Then the condition (1.4) takes the form

$$\begin{aligned} (1-\alpha)(1-\beta) \frac{zf'(z)}{f(z)} + \alpha(1-\beta) + \frac{zf''(z)}{f'(z)} &= \\ &= (1-\beta) \frac{zg'(z)}{g(z)}. \end{aligned}$$

Hence, we have

$$g(z) = z \left( \frac{f(z)}{z} \right)^{1-\alpha} (f'(z))^{1-\beta}.$$

Solving this with respect to  $f'$  we obtain a formal representation

$$zf'(z) = [g(z)]^{\frac{1-\beta}{\alpha}} z^\beta [f(z)]^{\frac{-(1-\alpha)(1-\beta)}{\alpha}}.$$

Thus  $(re^{i\theta} = z)$

$$L(r) = \int_{|z|=r} |f'(z)| |dz| = \int_0^{2\pi} zf'(z) e^{-i \arg zf'(z)} d\theta.$$

The integral on the r.h.s. is now computed in a standard way to yield.

$$L(r) \leq 2M(r)\pi \frac{|(1-\alpha)(1-\beta)| + |\alpha\beta| + 1 - \beta}{|\alpha|}$$



It gives us (1).

To prove (11) observe that in view of the univalence of  $f$  we have  $M(r) \leq 4r^{-1}M(r^2)$ .

Hence

$$\begin{aligned} L(r) &\leq 2\pi T M(r) \leq 8 \frac{\pi T}{r} M(r^2) \leq \frac{8\pi}{r} T \sum_{n=1}^{\infty} |a_n| r^{2n} = \\ &= \frac{8\pi}{r} T \sum_{n=1}^{\infty} (n^{1/2} |a_n| r^n) (n^{-1/2} r^n). \end{aligned}$$

Making now use of the area theorem and the Schwarz inequality we ultimately find

$$L(r) \leq \frac{8\pi}{r} T \left[ \pi^{-1} A(r) \log \frac{1}{1-r^2} \right]^{1/2}$$

The rest follows from the fact that disk has the minimum of the area among domains bounded by a curve of a given length.

#### 4. COEFFICIENT BOUNDS

We have already obtained the best upper bound for  $|a_2|$  within the class  $F(\alpha, \beta)$ , by making use of the integral representation (Th. 2.1). We want now to show that upper bounds for initial Taylor coefficients of  $f$  can be obtained directly from the definition of the class  $F(\alpha, \beta)$ .

**THEOREM 4.1.** If  $\mu$  is a complex number and  $A_k = (1 - \alpha)(1 - \beta) + k\alpha$ ,  $k = 2, 3, 4$  then

$$\sup_{f \in F(\alpha, \beta)} |a_3 - \mu a_2^2| = \frac{1 - \beta}{A_3} \max(1, |v|)$$

where

$$v = \frac{4\mu A_3(1 - \beta) - 2A_4(1 - \beta) - A_2^2}{A_2^2}$$

The result is best possible.

P r o o f. Let us write the condition (1.4) in the form

$$(1 - \alpha) \frac{zf'(z)}{f(z)} + \frac{\alpha}{1 - \beta} \left(1 - \beta + \frac{zf''(z)}{f'(z)}\right) = \frac{1 + w(z)}{1 - w(z)}$$

where  $w(z) = c_1z + c_2z^2 + \dots$  is a holomorphic function subject to the Schwarz Lemma conditions. Comparing the Taylor coefficients of both sides in a neighbourhood of the origin one gets

$$(1) \quad c_1 = \frac{A_2}{2(1 - \beta)} a_2$$

$$(11) \quad c_2 = \frac{A_3}{1 - \beta} a_3 - \left(\frac{2A_4(1 - \beta) + A_2^2}{4(1 - \beta)^2}\right) a_2^2.$$

It is well-known that  $|c_1| \leq 1$ ,  $|c_2| \leq 1 - |c_1|^2$ .

Thus we have the sharp inequality

$$|c_2 - vc_1^2| \leq |c_2| + |v| |c_1|^2 \leq \max(1, |v|).$$

Making now use of (i) and (ii) after some computations we get the result.

COROLLARY 1. For  $f$  in  $F(\alpha, \beta)$  there hold the following sharp inequalities:

$$|a_2| \leq \frac{2(1 - \beta)}{|A_2|}$$

$$|a_3| \leq \frac{1 - \beta}{|A_3|} \max\left(1, \frac{|2A_4(1 - \beta) + A_2^2|}{A_2^2}\right),$$

The extremal functions satisfy the equations

$$\frac{(f(z))^{1-\alpha} \cdot (f'(z))^{1-\beta}}{z} = \frac{1}{(1-z)^2}$$

or

$$\left(\frac{f(z)}{z}\right)^{1-\alpha} \cdot (f'(z))^{1-\beta} = \frac{1}{1-z^2}$$

respectively.

One may obtain the sharp estimate for  $|a_4|$  in a similar manner but by making use of a lemma of J. Szynal and S. Wajler [9, p. 1153]. The computations are simple but lengthy so we give here the final result without proof.

**THEOREM 4.2.** If  $f \in F(\alpha, \beta)$ ,  $A_k = (1 - \alpha)(1 - \beta) + k\alpha$ ,  $k = 2, \dots, 8$ , then

$$|a_4| \leq \frac{2(1-\beta)}{3|A_4|} \Phi \left( \left| \frac{3A_6(1-\beta)}{A_2 A_3} + 2 \right|, \left| \frac{4A_8(1-\beta)^2}{A_2^2} + 1 + \frac{4A_4(1-\beta)^2}{A_2^2} \right| \right)$$

where

$$\Phi(p, q) = \begin{cases} q & \text{if } (p, q) \in D_1 \\ \frac{2}{3}(p+1) \sqrt{\frac{p+1}{3(p+1-q)}} & \text{if } (p, q) \in D_2 \\ 1 & \text{if } (p, q) \in D_3 \end{cases}$$

and

$$D_1 = \{(p, q) : q \geq \frac{2}{3}(p+1) \text{ and } p \geq 1\}$$

$$D_2 = \{(p, q) : (p+1) - \frac{4}{27}(p+1)^3 \leq q < \frac{2}{3}(p+1)\}$$

$$D_3 = \{(p, q) : p \leq \frac{1}{2} \text{ and } q < 1\} \cup \{(p, q) : \frac{1}{2} < p < \frac{2}{3}\sqrt{3} - 1 \text{ and } q < (p+1) - \frac{4}{27}(p+1)^3\}.$$

The extremal function satisfies the equation

$$(1 - \alpha) \frac{zf'(z)}{f(z)} + \frac{\alpha}{1 - \beta} (1 - \beta + \frac{zf''(z)}{f'(z)}) = \frac{1 + z\varepsilon}{1 - z\varepsilon}, \quad |\varepsilon| = 1.$$

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## STRESZCZENIE

W pracy rozważa się rodzinę  $F(\alpha, \beta)$  funkcji holomorficzy-nych w kole jednostkowym spełniających w tym kole warunki  $z^{-1}f(z)f'(z) \neq 0$  oraz  $\operatorname{Re}\left\{(1-\alpha)(1-\beta)\frac{zf'(z)}{f(z)} + \alpha\left(1-\beta + \frac{zf''(z)}{f'(z)}\right)\right\} > 0$  dla rzeczywistego  $\alpha$  i  $\beta \in \left(-\frac{1}{2}, 1\right)$ . Rodzina ta stanowi uogólnienie klasy funkcji  $\alpha$ -wypukłych wprowadzonej przez P. Mocanu w 1969 roku. Uzyska-no twierdzenie typu: strukturalnego, o zniekształceniu, o po- pokryciu oraz oszacowania funkcjonału Gołuzina i modułu współ- czynników  $a_2, a_3$ .

## Резюме

Пусть  $F(\alpha, \beta)$  обозначает класс функции  $f(z)$  голоморфных, и таких, что  $z^{-1}f(z)f'(z) \neq 0$  и таких что,  $\operatorname{Re}\left\{(1-\alpha)(1-\beta)\frac{zf'(z)}{f(z)} + \alpha\left(1-\beta + \frac{zf''(z)}{f'(z)}\right)\right\} > 0$  для действительного  $\alpha$  и  $\beta \in \left(-\frac{1}{2}, 1\right)$ .

Этот класс это обобщение класса введенного Мокану в 1969 году. В работе доказывается структурная формула и теорема искажения. Далее даны оценки функционала Голузина и модулей коэффициентов  $a_2, a_3$ .

