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On Quasiconformal Extension

O przedłużeniu quasikonforemnym

Об квазиконформном продолжении

Let  $S$  be the family of functions univalent and holomorphic in the unit disk  $D = \{ |z| < 1 \}$ . Throughout the present note we use the notation  $Q = (1 + k)/(1 - k)$ ,  $0 \leq k < 1$ . Let  $S_k$  be the family of  $f \in S$  such that  $f$  is the restriction of a  $Q$ -quasiconformal homeomorphism  $\phi$  from  $\Omega = \{ |z| \leq \infty \}$  onto  $\Omega$ , so that  $\phi = f$  in  $D$ .

Let  $f$  be a nonconstant holomorphic function in  $D$ . We shall show that the auxiliary function,

$$h(z, u) = \frac{\frac{f'(u)(1 - |u|^2)}{z + u} - \frac{1}{z}}{f\left(\frac{z + u}{1 + \bar{u}z}\right) - f(u)},$$

where  $z, u \in D$ , plays a fundamental role for  $f$  to be of  $S$  or of  $S_k$ . If  $f'(u) \neq 0$ , then the Taylor expansion of  $h(z, u)$  near  $z = 0$  yields that

$$h'(0, u) = -\frac{1}{6}(1 - |u|^2)^2 \{f, u\},$$

where  $h'(z, u) = (\partial/\partial z) h(z, u)$  and

$$\{f, u\} = (f''(u)/f'(u))' - \frac{1}{2} (f''(u)/f'(u))^2$$

is the Schwarzian derivative of  $f$  at  $u$ . We first remember the familiar condition:

$$|h'(0, u)| \leq M \text{ for all } u \in D. \quad (1)$$

W. Kraus [2] proved that if  $f \in S$ , then (1) with  $M = 1$  holds, while R. Kühnau [4],

proved that if  $f \in S_k$ , then (1) with  $M = k$  holds. Conversely, Z. Nehari [6] proved that  $f \in S$  if (1) with  $M = 1/3$  holds, while L. V. Ahlfors and G. Weill [1] proved that  $f \in S_k$  if (1) with  $M = k/3$  holds.

In the condition (1), the first variable is fixed,  $z = 0$ . A natural problem is to consider the condition on fixing the second variable  $u$ . S. Ozaki and M. Nunokawa [7, Theorem 1] proved that if there exists a point  $u \in D$  such that

$$|h'(z, u)| \leq 1 \text{ for all } z \in D, \quad (2)$$

then  $f \in S$ . The condition (2) shows that  $f'(u) \neq 0$ . Their result is contained in

**Theorem 1.** *Let  $f$  be a nonconstant holomorphic function in  $D$ . Suppose that there exist a point  $u \in D$  with  $f'(u) \neq 0$  and a nonnegative integer  $n$  such that*

$$|z^n h'(z, u)| \leq C \text{ for all } z \in D. \quad (3)$$

If  $C = 1$ , then  $f \in S$ , while if  $C = k$ , then  $f \in S_k$  with an extension to  $|z| > 1$ :

$$\phi(z) = \frac{\frac{f'(u)}{f'(u)}}{\frac{f(1/\bar{z}) - f(u)}{f(1/\bar{z})} + \frac{1 - |z|^2}{(1 - u\bar{z})(z - u)}} + f(u). \quad (4)$$

**Remarks.** (i) In the case  $n = 0$  or 1, (3) implies that  $f'(u) \neq 0$ . (ii) In the case  $u = 0$  and  $C = k$ , the condition (3) for the normalized  $f$ ,  $f(0) = f'(0) - 1 = 0$ , is

$$|z^n| \left| \frac{\frac{f'(z)}{f^2(z)}}{\frac{f(1/\bar{z}) - f(0)}{f(1/\bar{z})}} - \frac{1}{z^2} \right| \leq k \text{ for all } z \in D,$$

and furthermore,  $\phi$  of (4) becomes

$$\phi(z) = \frac{zf(1/\bar{z})}{z + (1 - |z|^2)f(1/\bar{z})};$$

see [3, Corollary 3].

**Proof of Theorem 1.** It follows from (3) with  $f'(u) \neq 0$  that  $h(z, u)$  is pole-free as a function of  $z$ , and furthermore, by the maximum modulus principle, we observe that  $|h'(z, u)| \leq C$  for all  $z \in D$ . Consider the holomorphic function

$$F(z) = (h(z, u) + \frac{1}{z})^{-1}, \quad z \in D.$$

Then,  $F \in S$  if and only if

$$G(z) \equiv F(1/z)^{-1} = z + h(1/z, u)$$

is univalent in  $D^* = \{1 < |z| \leq \infty\}$ , while  $F \in S_k$  if and only if  $G$  is univalent in  $D^*$  and furthermore,  $G$  admits a  $Q$ -quasiconformal and homeomorphic extension to  $\Omega$ .

Since  $|h'(z, u)| \leq C$  for all  $z \in D$ , we may now apply the theorem of J. G. Krzyż [3, Theorem 1] with  $\omega(z) = h(z, u)$ , to  $G$ , so that  $G$  has the described properties. In the  $Q$ -quasiconformal case, the cited theorem of Krzyż shows that an extension of  $G$  is given by  $z + h(\bar{z}, u)$  for  $|z| < 1$ .

Since for  $w \in D$ ,

$$f(w) = f'(u)(1 - |u|^2) F\left(\frac{w-u}{1-\bar{u}w}\right) + f(u),$$

we observe that  $f \in S$  or  $f \in S_k$  according as  $F \in S$  or  $F \in S_k$ . The extension  $\phi$  of  $f$  to  $|z| > 1$  of (4) is obtained after a lengthy but elementary calculation.

We next slightly improve Krzyż's second theorem [3, Theorem 2].

**Theorem 2.** Let  $f$  be a holomorphic function in  $D$  and let  $u$  be a point of  $D$ . Suppose that, for all  $z \in D$ ,

$$\left| \frac{f'(z)f'(u)}{(f(z)-f(u))^2} - \frac{1}{(z-u)^2} \right| \leq \frac{k}{|z-u|^2}.$$

Then  $f \in S_k$  with an extension  $\phi$  of (4) to  $|z| > 1$ .

Since  $|z-u| < |1-\bar{u}z|$ , Theorem 2 extends Krzyż's cited one.

**Proof.** First of all,  $f'(u) \neq 0$ . As  $z$  ranges over  $D$ ,  $w = (z-u)/(1-\bar{u}z)$  ranges over  $D$ . Since

$$h'(w, u) = \frac{-f'(z)f'(u)(1-\bar{u}z)^2}{(f(z)-f(u))^2} + \frac{(1-\bar{u}z)^2}{(z-u)^2},$$

it follows that

$$|w^2 h'(w, u)| = |z-u|^2 \left| \frac{f'(z)f'(u)}{(f(z)-f(u))^2} - \frac{1}{(z-u)^2} \right| \leq k$$

for all  $w \in D$ . Theorem 2 now follows from Theorem 1.

**Remark.** Apparently, if  $k$  is replaced by 1, then  $f$  of Theorem 2 is a member of  $S$ . As a final note we remark that if  $f \in S$  ( $f \in S_k$ , resp.), then for a point  $u \in D$ ,

$$(1 - |w|^2) |h'(w, u)| \leq C \text{ for all } w \in D, \quad (5)$$

where  $C = 1$  ( $C = k$ , resp.). In effect, a calculation yields that for  $z = (w+u)/(1+\bar{u}w)$ ,

$$(1 - |w|^2) |h'(w, u)| = (1 - |z|^2)(1 - |u|^2) \left| \frac{f'(z)f'(u)}{(f(z)-f(u))^2} - \frac{1}{(z-u)^2} \right|,$$

which, together with the known estimates (see [5, pp. 92–93]), yields (5).

The present work arises from the kind encouragement of Professor Jan G. Krzyż; it is my delightful duty to express my cordial thanks to him.

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## STRESZCZENIE

Autor podaje, w terminach pewnej funkcji związanej ze szwarcjanem, warunek dostateczny na to, by funkcja holomorficzna w kole jednostkowym była jednolistna i miała quasikonforemne przedłużenie na całą płaszczyznę (Tw. 1).

W dowodzie zastosowano pewne kryterium znalezione niedawno przez J. Krzyża. Jako zastosowanie tego wyniku otrzymał autor pewne uogólnienie wyniku Krzyża (Tw. 2).

## РЕЗЮМЕ

Автором получено в терминах некоторой функции связанный с шварцяном достаточное условие на то, чтобы функция голоморфная в одничном круге являлась однолистной и допускала квазиконформное предложение на целую плоскость (Теор. 1).

В доказательстве использовано один признак Я. Кшижа. Применяя этот признак, автор получил некоторое обобщение одного результата Кшижа (Теор. 2).



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Tłoczone w Zakładzie Poligrafii UMCS w Lublinie, zam. nr 363/82, S-3

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