

Hung Kuei HSIAO (Taichung) and Ryszard SMARZEWSKI (Lublin)

**Radial and Optimal Selections of Metric Projections onto Balls**

**Abstract.** We characterize differentiability of radial selections of metric projections onto balls, and derive (estimations of) their best Lipschitz constants for Banach spaces  $L^p$  (2-convex spaces, respectively). Moreover, the optimal selections are determined for several normed lattices, which enabled to prove Ky Fan's approximation principle for order intervals in the Banach lattice  $L^\infty$ .

**1. Introduction.** Let  $X$  be a normed linear space, and let

$$B = \{x \in X : \|x\| \leq 1\}$$

be the unit ball in  $X$ . Denote by  $\mathcal{P} : X \rightarrow 2^B$  the metric projection onto  $B$ ,

$$\mathcal{P}(x) = \{z \in B : \|x - z\| = \inf_{y \in B} \|x - y\|\}.$$

Since

$$\|x - x/\|x\|\| = \|x\| - 1 \leq \|x\| - \|y\| \leq \|x - y\|,$$

whenever  $x \notin B$  and  $y \in B$ , it follows that  $\mathcal{P}(x) \neq \emptyset$  for every  $x \in X$ , and that the mapping

$$(1.1) \quad R(x) = \begin{cases} x/\|x\|, & \text{if } x \notin B, \\ x, & \text{if } x \in B, \end{cases}$$

is a selection of the metric projection  $\mathcal{P}$ , which is said to be a radial projection [4,16]. Clearly,  $\mathcal{P}$  is a multivalued mapping if and only if  $X$  is not strictly convex.

It is well-known, and elementary to prove that the radial selection  $R$  is Lipschitz continuous, and that the best Lipschitz constant

$$(1.2) \quad k(X) = k_R(X) := \sup \left\{ \frac{\|R(x) - R(y)\|}{\|x - y\|} : x \neq y \right\}$$

satisfies the inequality  $1 \leq k(X) \leq 2$ . Moreover, de Figueiredo and Karlovitz [4] and Thele [16] proved that identities  $k(X) = 1$  and  $k(X) = 2$  hold if and only if the Birkhoff's orthogonality is symmetric (this is equivalent to  $X$  being an inner-product space, whenever the dimension of  $X$  is greater than 2), and iff  $X$  is not uniformly non-square, respectively.

If  $X$  is not strictly convex, then we define the *optimal Lipschitz constant* by

$$k_o(X) = \inf k_P(X),$$

where the infimum is taken over all selections  $P$  of  $\mathcal{P}$  and  $k_P(X)$  is defined as in (1.2). Further, a metric selection  $T$  of  $\mathcal{P}$  is said to be *optimal* if  $k_o(X) = k_T(X)$ . Clearly, we have  $1 \leq k_o(X) \leq k(X) \leq 2$ , and  $k_o(X) = k(X)$  if  $X$  is strictly convex.

In this paper, we first characterize differentiability of radial selections, and derive the constants  $k(L^p)$  for  $1 < p < \infty$  and estimates of  $k(X)$ , whenever  $X$  is 2-convex. Next, we show that there exist optimal selections  $T \neq R$  of metric projections  $\mathcal{P} : X \rightarrow 2^B$  in several normed linear spaces with  $k(X) = 2$  for which  $k_T(X)$  is equal to 1. The result is applied to prove Ky Fan's approximation principle for nonexpansive mappings on order intervals in the Banach lattice  $L^\infty$ .

**2. The differentiability of radial projections.** Denote by  $\tau(x, h)$  and  $R'(x)h$  directional derivatives of the norm and radial selection  $R$  which are defined by

$$(2.1) \quad \tau(x, h) = \lim_{t \rightarrow 0^+} \frac{\|x + th\| - \|x\|}{t}$$

and

$$(2.2) \quad R'(x)h = \lim_{t \rightarrow 0^+} \frac{R(x + th) - R(x)}{t},$$

respectively. Clearly, if  $\|x\| < 1$ , then  $R'(x)h = h$ . In the following, we study the derivative  $R'(x)h$  for  $x \in X \setminus B$ , where  $B$  is the unit ball.

**Lemma 2.1.** *Let  $x \notin B$  be an element of a normed linear space  $X$ . Then the derivative  $R'(x)h$  exists and*

$$R'(x)h = \frac{h - \tau(x, h)R(x)}{\|x\|}.$$

for all  $h \in X$ .

**Proof.** Let  $x \notin B$  and  $h \in X$ . Since  $\tau(x, h)$  exists [12], and  $x + th \notin B$  for sufficiently small  $t$ , we have

$$\begin{aligned} \lim_{t \rightarrow 0^+} \frac{R(x + th) - R(x)}{t} &= \lim_{t \rightarrow 0^+} \left[ \frac{x + th}{\|x + th\|} - \frac{x}{\|x\|} \right] / t \\ &= \frac{1}{\|x\|^2} \lim_{t \rightarrow 0^+} \frac{t\|x\|h + x(\|x\| - \|x + th\|)}{t} \\ &= \frac{1}{\|x\|} (h - \tau(x, h)R(x)), \end{aligned}$$

which completes the proof. ■

**Theorem 2.1.** *Let  $X$  be a normed linear space. Then the radial projection  $R$  is Gateaux differentiable on  $X \setminus B$  if and only if  $X$  is a smooth space.*

**Proof.** The operator  $R'(x) : X \rightarrow X$  from Lemma 2.1 is continuous, whenever  $\|x\| > 1$ . Indeed, by (2.1) we have

$$\begin{aligned} \|R'(x)h_1 - R'(x)h_2\| &\leq \frac{1}{\|x\|} \left( \|h_1 - h_2\| + \|Rx\| |\tau(x, h_1) - \tau(x, h_2)| \right) \\ &\leq \frac{1 + \|Rx\|}{\|x\|} \|h_1 - h_2\|. \end{aligned}$$

Next, the operator  $R'(x)$  is linear if and only if  $h \rightarrow \tau(x, h)$  is a linear functional on  $X$ . Since  $\tau(\lambda x, h) = \tau(x, h)$  for every  $\lambda > 0$ , it follows that  $h \rightarrow \tau(x, h)$  is linear for all  $x \neq 0$ . Finally, the last statement is equivalent to smoothness of  $X$  [7]. ■

Recall that a (smooth) normed linear space  $X$  is said to have the Fréchet differentiable norm if

$$(2.3) \quad \lim_{h \rightarrow 0} \frac{\|x + h\| - \|x\| - \tau(x, h)}{\|h\|} = 0$$

for all  $x \neq 0$ . For such spaces  $X$ , the above characterization can be improved as follows.

**Theorem 2.2.** *Let  $X$  be a normed linear space. Then the radial projection  $R$  is Fréchet differentiable on  $X \setminus B$  if and only if the norm of  $X$  is Fréchet differentiable.*

**Proof.** For the proof of sufficiency, we have to show that

$$(2.4) \quad f(h) := \frac{R(x+h) - Rx - R'(x)h}{\|h\|} \rightarrow 0 \text{ as } h \rightarrow 0,$$

whenever  $\|x\| > 1$ . By Lemma 2.1 and (1.1) we obtain

$$\begin{aligned} \|f(h)\| &= \left\| \frac{h}{\|h\|} \left( \frac{1}{\|x+h\|} - \frac{1}{\|x\|} \right) - \frac{x(\|x+h\| - \|x\| - \tau(x, h))}{\|x\|^2 \|h\|} \right. \\ &\quad \left. - \frac{x(\|x+h\| - \|x\|)}{\|x\| \|h\|} \left( \frac{1}{\|x+h\|} - \frac{1}{\|x\|} \right) \right\| \\ (2.5) \quad &\leq 2 \left| \frac{1}{\|x+h\|} - \frac{1}{\|x\|} \right| + \left| \frac{\|x+h\| - \|x\| - \tau(x, h)}{\|h\|} \right|. \end{aligned}$$

This in conjunction with (2.3) proves (2.4). Conversely, suppose that (2.4) holds for all  $x \in X$  with  $\|x\| > 1$ . Then we get

$$(2.6) \quad \|f(h)\| \geq \left| \frac{\|x+h\| - \|x\| - \tau(x,h)}{\|h\|\|x\|} \right| - 2 \left| \frac{1}{\|x+h\|} - \frac{1}{\|x\|} \right|$$

in a similar way as (2.5). Hence we obtain (2.3) in the case when  $\|x\| > 1$ . This directly implies that  $\tau(\lambda x, h) = \tau(x, h)$  exists in the Fréchet sense for all  $\lambda > 0$ , which completes the proof of (2.3) for all  $x \neq 0$ . ■

An additional property of  $R$  can be established if  $X$  is uniformly smooth, which is equivalent [2] to the fact that the limit

$$\lim_{t \rightarrow 0} \frac{\|x+th\| - \|x\|}{t}$$

exists uniformly for all  $x$  and  $h$  in the unit sphere. Clearly, this is equivalent to the existence of this limit uniformly for all  $x$  and  $h$  in each sphere  $S_r = \{z : \|z\| = r\}$  of radius  $r > 0$ . In this case, the norm of  $X$  is said to be uniformly Fréchet differentiable. By analogy, we say that  $R$  is uniformly Fréchet differentiable if the limit

$$\lim_{t \rightarrow 0} \frac{R(x+th) - Rx}{t} \tag{2.5}$$

exists uniformly for all  $x, h$  in each sphere  $S_r$  with  $r > 1$ .

**Theorem 2.3.** *The radial projection  $R$  is uniformly Fréchet differentiable if and only if  $X$  is uniformly smooth.*

**Proof .** If  $\|x\| = \|y\| = r > 1$  and  $|t| < 1$ , then we have

$$\left| \frac{1}{\|x+th\|} - \frac{1}{\|x\|} \right| = \frac{\| \|x\| - \|x+th\| \|}{\|x+th\|\|x\|} \leq \frac{|t|}{(1-|t|)r}.$$

Hence one can insert  $th$  for  $h$  in (2.5) and (2.6) to finish the proof. ■

**3. Best Lipschitz constants for 2-convex spaces.** A normed linear space  $X$  is said to be 2-convex [13] if there exists a constant  $c > 0$  such that the inequality

$$(3.1) \quad \left\| \frac{x+y}{2} \right\|^2 \leq \frac{1}{2}(\|x\|^2 + \|y\|^2) - c \left\| \frac{x-y}{2} \right\|^2$$

holds for all  $x, y \in X$ . Clearly, we always have  $c \leq 1$ . The estimation  $k(X) < 2$  can be improved, whenever  $X$  is 2-convex. In order to do this, we need the following lemma.

**Lemma 3.1.** *If  $X$  is 2-convex, then*

$$(3.2) \quad \|(1-t)x + ty\|^2 \leq (1-t)\|x\|^2 + t\|y\|^2 - ct(1-t)\|x-y\|^2$$

for all  $x, y \in X$  and  $0 < t < 1$ , where  $c$  is as in (3.1).

**Proof.** The inequality was proved in [15] for an abstract  $L^p$ -space  $X$  with  $1 < p \leq 2$  and  $c = p - 1$ . However, the proof applies without any change to our more general case. ■

**Theorem 3.1.** *Let  $X$  be a 2-convex normed linear space. Then we have*

$$k(X) \leq \frac{2}{c + 1},$$

where  $c$  is as in (3.1).

**Proof.** By the Thele formula [16], we have

$$k(X) = \sup \left\{ \frac{1}{\|y - \lambda x\|} : x, y \in X, \|x\| = \|y\| = 1, x \perp y, \lambda \in \mathbb{R} \right\},$$

where  $x \perp y$  means that the distance  $\text{dist}(x, \hat{y})$  of  $x$  to the one-dimensional subspace  $\hat{y} = \text{span}\{y\}$  spanned by  $y$  is equal to 1. Therefore, the Thele formula can be rewritten in the form

$$(3.3) \quad k(X) = \sup \left\{ \frac{1}{\text{dist}(y, \hat{x})} : x, y \in X, \|x\| = \|y\| = \text{dist}(x, \hat{y}) = 1 \right\},$$

where  $\hat{x} = \text{span}\{x\}$ . Now, suppose that  $x, y \in X$  and  $\|x\| = \|y\| = \text{dist}(x, \hat{y}) = 1$ . Next, insert  $y = x - z$  into (3.2) and use  $\|x\| = 1$  to get

$$t \leq t\|x - z\|^2 - ct(1 - t)\|z\|^2 - (\|x - tz\|^2 - \|x\|^2).$$

Dividing this inequality by  $t$  and letting  $t \rightarrow 0$ , we obtain

$$1 \leq \|x - z\|^2 - c\|z\|^2 - 2\tau(x, -z).$$

Since  $0 \in \hat{y}$  and  $\|x\| = 1$ , we conclude that  $m = 0$  is a best approximation in  $\hat{y}$  to  $x$ . Hence we get  $\tau(x, -z) \geq 0$  and

$$(3.4) \quad 1 \leq \|x - z\|^2 - c\|z\|^2$$

for all  $z \in \hat{y}$ . Now, suppose additionally that the best approximation to  $y$  in  $\hat{x}$  is equal to  $\beta x$  with  $\beta \neq 0$ . Then it follows from (3.4) that

$$d^2 = \|y - \beta x\|^2 = |\beta|^2 \left\| x - \frac{y}{\beta} \right\|^2 \geq |\beta|^2 \left( 1 + c \left\| \frac{y}{\beta} \right\|^2 \right) = |\beta|^2 + c,$$

where  $d = \text{dist}(y, \hat{x})$ . On the other hand, we have

$$|\beta| = \|\beta x\| \geq \|y\| - \|y - \beta x\| = 1 - d.$$

Therefore, we get

$$d^2 \geq (1 - d)^2 + c,$$

which yields

$$(3.5) \quad \frac{1}{d} = \frac{1}{\text{dist}(y, \hat{x})} \leq \frac{2}{c+1}.$$

Note that this inequality is also true when  $\beta = 0$ , which follows directly from the fact that

$$d = 1 \geq \frac{c+1}{2}$$

in this case. Hence one can take the supremum in (3.5) to finish the proof. ■

The theorem yields the following estimate for best Lipschitz constants of Banach spaces  $L^p = L^p(\Omega, \Sigma, \mu)$ , where  $(\Omega, \Sigma, \mu)$  is a positive measure space.

**Corollary 3.1.** *The estimate*

$$k(L^p) \leq \frac{2}{p} \max\{p-1, 1\}$$

holds, whenever  $1 < p < \infty$ .

**Proof.** The best constant  $c = c(L^p)$  in (3.1) is equal to  $p-1$ , whenever  $1 < p \leq 2$  [15]. Hence Theorem 3.1 gives

$$k(L^p) \leq 2/p.$$

If  $p > 2$ , then we can apply the Franchetti identity  $k(X) = k(X^*)$  [5] and the last inequality to get

$$k(L^p) = k(L^{p/(p-1)}) \leq \frac{2(p-1)}{p},$$

which completes the proof. ■

Note that the estimate of  $k(L^p)$  is exact, whenever  $p = 2$ , and that it is asymptotically sharp as  $p \rightarrow 1$  and  $p \rightarrow \infty$ .

**4. Best Lipschitz constants for  $L^p$ .** In this section we derive  $k(L^p)$  for the real Banach spaces  $L^p = L^p(\Omega, \Sigma, \mu)$ , whenever  $1 < p < \infty$  and  $(\Omega, \Sigma, \mu)$  is a positive measure space. By usual isometric embeddings [11], it follows that the assumption -  $L^p$  is over the real field - does not restrict the generality. Since  $k(X) = 1$  for each space  $X$  of dimension 1, it will be also assumed below that the dimension of  $L^p$  is greater than 1, which is equivalent to the existence of disjoint measurable sets  $A$  and  $B$  in  $\Omega$  such that  $A \cup B = \Omega$  and  $\mu(A)\mu(B) > 0$ . The main result of this section is included in the following theorem.

**Theorem 4.1.** *If  $1 < p < \infty$ , then*

$$k(L^p) = \max_{0 \leq t \leq 1} [t^{p-1} + (1-t)^{p-1}]^{1/p} [t^{1/(p-1)} + (1-t)^{1/(p-1)}]^{(p-1)/p}.$$

For the proof of Theorem 4.1, we need the following results about best Lipschitz constants  $k(l_n^p)$  of the Banach spaces  $l_n^p$  which consists of all real  $n$ -tuples  $x = (x_1, \dots, x_n)$  equipped with the norm

$$\|x\| = \left( \sum_{k=1}^n |x_k|^p \right)^{1/p}.$$

**Lemma 4.1.** *The inequality*

$$k(L^p) \geq k(l_2^p)$$

holds for each space  $L^p = L^p(\Omega, \Sigma, \mu)$ .

**Proof.** Choose disjoint measurable sets  $A$  and  $B$  such that  $A \cup B = \Omega$  and  $\mu(A)\mu(B) > 0$ , and define the subspace

$$M = \{ \alpha \chi_A / [\mu(A)]^{1/p} + \beta \chi_B / [\mu(B)]^{1/p} : \alpha, \beta \in \mathbf{R} \}$$

of  $L^p$ . Since we have

$$\|x\| = (|\alpha|^p + |\beta|^p)^{1/p}$$

for every  $x \in M$ , it follows that  $M$  is isometrically isomorphic to  $l_2^p$ . Hence Thele's formula (3.3) yields

$$k(L^p) \geq \sup \left\{ \frac{1}{\text{dist}(y, \hat{x})} : x, y \in M, \|x\| = \|y\| = \text{dist}(x, \hat{y}) = 1 \right\} = k(l_2^p),$$

which completes the proof. ■

**Lemma 4.2.** *The functions*

$$g(z) = |z - \lambda|^p + \lambda_2 |z|^p + \lambda_3 z$$

and

$$g'(z) = p|z - \lambda|^{p-2}(z - \lambda) + \lambda_2 p|z|^{p-2}z + \lambda_3$$

have at most two common real zeros, whenever  $p > 2$ ,  $\lambda \neq 0$  and  $\lambda_2 \leq 0$ .

**Proof.** Suppose that  $g$  and  $g'$  are equal to zero at some points  $z_1 < z_2 < z_3$ . Then one can apply Rolle's theorem to conclude that the first derivative  $g'(z)$  has (at least) five distinct real zeros, and that the second derivative

$$g''(z) = p(p-1)(|z - \lambda|^{p-2} + \lambda_2 |z|^{p-2})$$

has four distinct zeros  $t_k$ . Since  $\lambda \neq 0$  and  $\lambda_2 \leq 0$ , we have  $t_k \neq 0$  and

$$\left| \frac{t_k - \lambda}{t_k} \right| = (-\lambda_2)^{1/(p-2)} \quad (k = 1, 2, 3, 4).$$

This contradicts the fact that the function  $t \rightarrow |t - \lambda|/|t|$  has exactly three intervals of the (strict) monotonicity. ■

**Lemma 4.3.** *The identity*

$$k(l_n^p) = k(l_2^p)$$

holds for all  $n \geq 2$  and  $p > 2$ .

**Proof.** By Lemma 4.1 we have

$$k(l_n^p) \geq k(l_2^p).$$

To prove the reversed inequality, denote

$$s_n = 1/(k(l_n^p))^p$$

and use Thele's formula (3.3) to get

$$(4.1) \quad s_n = \min \{ \text{dist}^p(y, \hat{x}) : x, y \in l_n^p, \|x\| = \|y\| = \text{dist}(x, \hat{y}) = 1 \}.$$

The proof will be completed if we show that  $s_n \geq s_2$ . For this purpose, suppose that  $s_{n-1} \geq s_2$  and  $n > 2$ . Without loss of generality, we may only take the minimum in (4.1) over all vectors  $x = (x_1, \dots, x_n)$  such that  $x_i \neq 0$  for  $i = 1, 2, \dots, n$ . Indeed, if the minimum is attained for a vector  $x$  with a coordinate  $x_i$  equal to zero, then the minimal value of

$$\text{dist}^p(y, \hat{x}) = |y_i|^p + \inf_{\lambda \in \mathbb{R}} \sum_{k \neq i} |y_k - \lambda x_k|^p$$

is attained whenever  $y_i = 0$ . To verify this assertion, one can suppose that  $|y_i| \neq 1$  and take  $\bar{y} = (y - y_i e_i)/\|y - y_i e_i\|$ , where  $e_i$  is the  $i$ th unit vector. Then we have  $\|\bar{y}\| = 1$ ,  $x \perp y$ ,  $x \perp y_i e_i$ ,  $x \perp \bar{y}$ , and  $\text{dist}(\bar{y}, \hat{x}) \leq \text{dist}(y, \hat{x})$ , which yields our assertion. Thus  $s_n = s_{n-1}$  in this case, which finishes our inductive proof. Since  $\text{dist}(x, \hat{y}) = \|x\| = 1$ , it follows that 0 is the best approximation in  $\hat{y}$  to  $x$ . Consequently, by the characterization [9] of best approximations in  $l_n^p$ , the condition  $\text{dist}(x, \hat{y}) = 1$  in (4.1) is equivalent to

$$(4.2) \quad \sum_{k=1}^n |x_k|^{p-2} x_k y_k = 0.$$

Hence (4.1) can be rewritten in the equivalent form

$$(4.3) \quad s_n = \min_{\lambda \in \mathbb{R}} s_n(\lambda)$$

with

$$(4.4) \quad s_n(\lambda) = \min \sum_{k=1}^n \alpha_k |z_k - \lambda|^p,$$



where the minimum is taken over all real numbers  $z_k = y_k/x_k$  and  $\alpha_k = |x_k|^p > 0$  ( $k = 1, \dots, n$ ) which satisfy the following conditions:

$$(4.5) \quad \sum_{k=1}^n \alpha_k = 1, \quad \sum_{k=1}^n \alpha_k |z_k|^p = 1, \quad \sum_{k=1}^n \alpha_k z_k = 0.$$

Now denote by  $\lambda$ ,  $\alpha = (\alpha_1, \dots, \alpha_n)$ , and  $z = (z_1, \dots, z_n)$  a solution of minimization problem (4.3)-(4.5), and consider the function

$$F(\lambda, \alpha, z) = \sum_{k=1}^n \alpha_k |z_k - \lambda|^p + \lambda_1 \left( \sum_{k=1}^n \alpha_k - 1 \right) + \lambda_2 \left( \sum_{k=1}^n \alpha_k |z_k|^p - 1 \right) + \lambda_3 \sum_{k=1}^n \alpha_k z_k.$$

Then the Euler equations:

$$(4.6) \quad \sum_{k=1}^n \alpha_k |z_k - \lambda|^{p-2} (z_k - \lambda) = 0,$$

$$(4.7) \quad |z_k - \lambda|^p + \lambda_1 + \lambda_2 |z_k|^p + \lambda_3 z_k = 0,$$

$$(4.8) \quad p\alpha_k |z_k - \lambda|^{p-2} (z_k - \lambda) + \lambda_2 p\alpha_k |z_k|^{p-2} z_k + \lambda_3 \alpha_k = 0$$

hold for  $k = 1, \dots, n$ , whenever  $(\lambda, \alpha, z)$  is the solution of (4.3)-(4.5). If we multiply equations (4.7) ((4.8)) by  $\alpha_k$  ( $z_k$ , resp.) and take the sum of them over  $k$ , then we can use (4.3)-(4.5) to get

$$s_n + \lambda_1 + \lambda_2 = 0$$

and

$$p \sum_{k=1}^n \alpha_k |z_k - \lambda|^{p-2} (z_k - \lambda) [(z_k - \lambda) + \lambda] + \lambda_2 p = p s_n + \lambda_2 p = 0.$$

Hence  $\lambda_2 = -s_n \leq 0$  and  $\lambda_1 = 0$ . This in conjunction with (4.7)-(4.8) and the fact that  $\alpha_k > 0$  yields

$$|z_k - \lambda|^p + \lambda_2 |z_k|^p + \lambda_3 z_k = 0$$

and

$$p|z_k - \lambda|^{p-2} (z_k - \lambda) + \lambda_2 p |z_k|^{p-2} z_k + \lambda_3 = 0$$

for  $k = 1, \dots, n$ . Since  $n > 2$ , it follows from Lemma 4.2 that either  $\lambda = 0$  or  $z_k = z_j$  for some  $k \neq j$ . In the first case, we have  $s_n = s_n(\lambda) = 1$  and  $k(I_n^p) = 1$ , which leads to the contradiction with  $k(I_n^p) > 1$ . In the second case, identities (4.4)-(4.5) yield  $s_n(\lambda) = s_{n-1}(\lambda)$ . By the induction hypothesis, it follows that  $s_n = s_{n-1} \geq s_2$ , which completes the proof. ■

**Lemma 4.4.** *If  $p > 2$  then*

$$k(I_2^p) = \max_{0 \leq t \leq 1} [t^{p-1} + (1-t)^{p-1}]^{1/p} [t^{1/(p-1)} + (1-t)^{1/(p-1)}]^{(p-1)/p}.$$

**Proof.** By (4.1) we have

$$(4.9) \quad k(l_2^p) = \max \frac{1}{\text{dist}(y, \hat{x})} = \max \frac{1}{\|y - \lambda x\|}$$

for some uniquely determined  $\lambda$ , where the maxima are taken over all  $x = (z, s)$  and  $y = (u, v)$  in  $l_2^p$  with  $\|x\| = \|y\| = \text{dist}(x, \hat{y}) = 1$  and  $z, s \neq 0$ . It follows from (4.2) that the restriction  $\text{dist}(x, \hat{y}) = 1$  is equivalent to

$$(4.10) \quad |z|^{p-2}zu + |s|^{p-2}sv = 0.$$

Additionally, by the characterization of best approximations in  $l_2^p$  [9], the number  $\lambda$  in (4.9) is the unique solution of the equation

$$(4.11) \quad z|u - \lambda z|^{p-2}(u - \lambda z) + s|v - \lambda s|^{p-2}(v - \lambda s) = 0.$$

Since  $z, s \neq 0$  and  $\|y\| = 1$ , it follows from (4.10) that  $u, v \neq 0$ . Further, if  $x, y$  and  $\lambda$  satisfy (4.10) and (4.11), then the same is true for  $a = (-z, \mp s)$ ,  $b = (-u, \mp v)$  and  $\lambda$ . Moreover, we have  $\text{dist}(b, \hat{a}) = \|y - \lambda x\|$ . Therefore, we can assume that  $z, s > 0$ . Hence (4.10) yields  $uv < 0$ . By the symmetry, we can assume that  $u < 0$  and  $v > 0$ . This in conjunction with (4.10) and the identity  $\|y\| = 1$  yields

$$\left(\frac{z}{s}\right)^{p-1} = -\frac{v}{u} = -[1 - (-u)^p]^{1/p}/u$$

and

$$u = -\left[1 + \left(\frac{z}{s}\right)^{p(p-1)}\right]^{-1/p}.$$

Hence one can use the identity  $\|x\| = 1$  to obtain

$$(4.12) \quad \begin{aligned} zv - su &= u\left(z\frac{v}{u} - s\right) = u\left(-\frac{z^p}{s^{p-1}} - s\right) = -u/s^{p-1} \\ &= (z^{p(p-1)} + s^{p(p-1)})^{-1/p}. \end{aligned}$$

Since  $\|y - \lambda x\| > 0$ , it follows from (4.11) that

$$(u - \lambda z)(v - \lambda s) < 0$$

and

$$\frac{u - \lambda z}{\lambda s - v} = r \quad \text{with } r = \left(\frac{s}{z}\right)^{1/(p-1)}.$$

Hence we get

$$\lambda = \frac{u + rv}{z + rs}$$

and

$$\text{dist}^p(y, \hat{x}) = |u - \lambda z|^p + |\lambda s - v|^p = (1 + r^p)|\lambda s - v|^p$$

$$= (1 + r^p) \left| \frac{zv - su}{z + rs} \right|^p = (z^{p/(p-1)} + s^{p/(p-1)})^{1-p} |zv - su|^p.$$

This together with (4.12) and the identity  $s^p = 1 - z^p := 1 - t$  gives

$$\frac{1}{\text{dist}(y, \hat{x})} = [t^{p-1} + (1-t)^{p-1}]^{1/p} [t^{1/(p-1)} + (1-t)^{1/(p-1)}]^{(p-1)/p},$$

which completes the proof. ■

**Proof of Theorem 4.1.** By the Franchetti formula  $k(X^*) = k(X)$  [5] and the fact that  $k(L^2) = 1$  for the Hilbert space  $L^2$ , we can assume that  $p > 2$ . Therefore, in view of Lemmas 4.1 and 4.3, we have

$$(4.13) \quad k(l_n^p) = k(l_n^p) \leq k(L^p)$$

for every integer  $n \geq 2$ . For the proof of reversed inequality, suppose that  $\epsilon > 0$  and  $x, y \in L^p = L^p(\Omega, \Sigma, \mu)$ . Since the subspace of all simple functions in  $L^p$  is dense in  $L^p$ , there exist simple functions  $x_\epsilon$  and  $y_\epsilon$  such that

$$(4.14) \quad \|x - x_\epsilon\| \leq \epsilon \quad \text{and} \quad \|y - y_\epsilon\| \leq \epsilon.$$

Moreover, we can write these simple functions in the form

$$x_\epsilon = \sum_{k=1}^n x_k \chi_{A_k} \quad \text{and} \quad y_\epsilon = \sum_{k=1}^n y_k \chi_{A_k},$$

for some integer  $n$ , where  $x_k, y_k \in \mathbb{R}$  and  $\chi_{A_k}$  are characteristic functions of pairwise disjoint measurable subsets  $A_k$  ( $k = 1, \dots, n$ ) of  $\Omega$ . Hence  $x_\epsilon$  and  $y_\epsilon$  can be identified in the usual way with the elements  $(x_k \mu(A_k))$  and  $(y_k \mu(A_k))$  of the space  $l_n^p$ . Consequently, we obtain

$$\|Rx_\epsilon - Ry_\epsilon\| \leq k(l_n^p) \|x_\epsilon - y_\epsilon\|.$$

This in conjunction with (4.13)-(4.14) and inequality  $k(X) \leq 2$  yields

$$\begin{aligned} \|Rx - Ry\| &\leq \|Rx - Rx_\epsilon\| + k(l_n^p) \|x_\epsilon - y_\epsilon\| + \|Ry_\epsilon - Ry\| \\ &\leq 4\epsilon + k(l_n^p) (\|x_\epsilon - x\| + \|x - y\| + \|y - y_\epsilon\|) \\ &\leq 8\epsilon + k(l_n^p) \|x - y\|. \end{aligned}$$

Letting  $\epsilon \rightarrow 0$ , we get

$$k(L^p) \leq k(l_n^p).$$

Hence one can apply (4.13) and Lemma 4.4 to finish the proof. ■

An exact computation of the maximal value of the function

$$h_p(t) = [t^{p-1} + (1-t)^{p-1}]^{1/p} [t^{1/(p-1)} + (1-t)^{1/(p-1)}]^{(p-1)/p}, \quad 0 \leq t \leq 1,$$

occurring in Theorem 4.1, seems to be a hard problem except for a few values of  $p$ . More precisely, it is easy to compute that  $k(L^2) = 1$  and

$$k(L^{3/2}) = k(L^3) = \frac{1}{3}(7\sqrt{7} + 17)^{1/3}.$$

For example, if  $p = 3/2$  then the function

$$h_{3/2}(t) = \left\{ [1 + 2\sqrt{t(1-t)}][1 - 2t(1-t)] \right\}^{1/3}, \quad 0 \leq t \leq 1,$$

attains its maximum at the point

$$t_{3/2} = \frac{3 - \sqrt{1 + 2\sqrt{7}}}{6}.$$

**Corollary 4.1.** *If  $1 < p < \infty$  then*

$$h_p(t_p) \leq k(L^p) \leq 2^{1/p-2/p},$$

where

$$t_p = \begin{cases} 0.08345[1 - (2-p)^{5.83}] & , \text{ if } 1 < p \leq 2 \\ t_{p/(p-1)} & , \text{ otherwise.} \end{cases}$$

**Proof.** Since  $t_p \in (0, 1)$ , the lower estimate is a direct consequence of Theorem 4.1. Further, if  $1 < p \leq 2$  then maximal values of the functions

$$f(t) = t^{p-1} + (1-t)^{p-1} \quad \text{and} \quad g(t) = t^{1/(p-1)} + (1-t)^{1/(p-1)}, \quad 0 \leq t \leq 1,$$

are attained at the points  $t = 1/2$  and  $t = 0$ , respectively. In the case  $p > 2$ , the same is true for the points  $t = 0$  and  $t = 1/2$ . Hence by Theorem 4.1 we get

$$k(L^p) \leq \max \left\{ f^{1/p}(1/2)g^{(p-1)/p}(0), f^{1/p}(0)g^{(p-1)/p}(1/2) \right\} = 2^{1/p-2/p},$$

which completes the proof. ■

Note that estimates given in Corollary 4.1 are exact in the case  $p = 2$ , and that they are asymptotically sharp as  $p \rightarrow 1$  and  $p \rightarrow \infty$ . Moreover, the lower estimate  $h_p(t_p)$  is much more exact than the upper estimate  $2^{1/p-2/p}$ . In fact, the numerical experiments show that

$$(4.15) \quad |h_p(t_p) - k(L_p)| \leq 4 * 10^{-6}.$$

For example, if  $p = 3/2$  then  $h_{3/2}(t_{3/2}) = 1.0957314 \dots$  and  $k(L^{3/2}) = 1.0957314 \dots$ . Moreover, the upper estimate  $2^{1/p-2/p}$  is better than the estimate  $(2/p)\max\{p-1, 1\}$  from Corollary 3.1. In Fig.1, we present the graphs of these estimates in the case  $1 \leq p \leq 2$ . By (4.15) the graphs of  $h_p(t_p)$  and  $k(L^p)$  can not be distinguished at the picture.

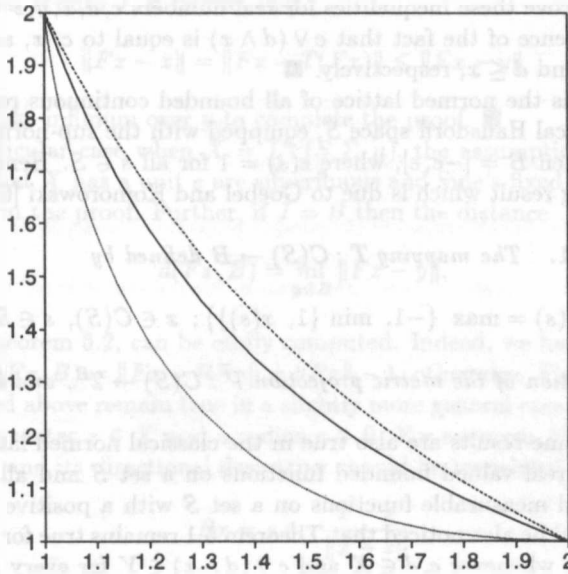


Fig. 1. Estimates  $h_p(t_p)$  (dotted),  $2^{(2-p)/p}$  (solid) and  $2/p$  (dashed) of  $k(L^p)$ .

**5. Optimal selections .** Let  $X$  be a normed lattice with an order  $\leq$  and lattice operations  $\vee$  and  $\wedge$ , and let  $|x| = (x \vee 0) + (x \wedge 0)$  denote the absolute value in  $X$  [11]. Moreover, let

$$J = [c, d] := \{x \in X : c \leq x \leq d\}$$

be an *order interval* with endpoints  $c, d \in X$  such that  $c \leq d$ . Replacing the unit ball  $B$  of  $X$  by  $J$ , we define the metric projection  $\mathcal{P} : X \rightarrow 2^J$ , the best Lipschitz constant  $k_{\mathcal{P}}(X)$  of a selection  $P$  of  $\mathcal{P}$ , the optimal Lipschitz constant  $k_o(X)$ , and the optimal selection  $T$  of  $\mathcal{P}$  as in Section 1.

**Theorem 5.1.** *Let  $J = [c, d]$  be an order interval in a normed lattice  $X$ . Then the mapping  $T : X \rightarrow J$  defined by*

$$Tx = c \vee (d \wedge x), \quad x \in X,$$

*is an optimal selection of the metric projection  $\mathcal{P} : X \rightarrow 2^J$ . Moreover, we have  $k_T(X) = k_o(X) = 1$ .*

**Proof.** In a Banach lattice  $X$ , we have  $\|x\| \leq \|y\|$  whenever  $|x| \leq |y|$ . Hence we have to show that

$$|x - c \vee (d \wedge x)| \leq |x - z|$$

and

$$|c \vee (d \wedge x) - c \vee (d \wedge y)| \leq |x - y|$$

for all  $x, y \in X$  and  $z \in J$ . By Yudin's principle of invariance of relations [8, p.279], it is sufficient to prove these inequalities for real numbers  $c, d, x, y, z$  with  $c \leq z \leq d$ , which is a consequence of the fact that  $c \vee (d \wedge x)$  is equal to  $c, x$ , and  $d$ , whenever  $x \leq c, c \leq x \leq d$ , and  $d \leq x$ , respectively. ■

If  $X = C(S)$  is the normed lattice of all bounded continuous real valued functions on a topological Hausdorff space  $S$ , equipped with the sup-norm and the usual pointwise order, then  $B = [-e, e]$ , where  $e(s) = 1$  for all  $s \in S$ . Hence Theorem 5.1 yields the following result which is due to Goebel and Komorowski [6].

**Corollary 5.1.** *The mapping  $T : C(S) \rightarrow B$  defined by*

$$(Tx)(s) = \max \{-1, \min \{1, x(s)\}\}; \quad x \in C(S), \quad s \in S,$$

*is an optimal selection of the metric projection  $\mathcal{P} : C(S) \rightarrow 2^B$ , and  $k_T(C(S)) = 1$ .*

Clearly, the same results are also true in the classical normed lattices  $B(S)$  and  $L^\infty(S, \Sigma, \mu)$  of all real valued bounded functions on a set  $S$  and all real valued  $\mu$ -essentially bounded measurable functions on a set  $S$  with a positive measure  $\mu$ , respectively. It should be also noticed that Theorem 5.1 remains true for each sublattice  $Y$  of the lattice  $X$ , whenever  $c, d \in X$  and  $c \vee (d \wedge x) \in Y$  for every  $x \in Y$ . For example, let  $C_o(S)$  be the sublattice of  $C(S)$ , which consists of all  $x \in C(S)$  such that the inequality  $|x(s)| \leq \varepsilon$  holds for each  $\varepsilon > 0$  and for all  $s$  outside a compact subset  $Q \subset S$  dependent on  $x$  and  $\varepsilon$ . Then we get

**Corollary 5.2.** *The mapping  $T : C_o(S) \rightarrow B$  defined by*

$$(Tx)(s) = \max \{-1, \min \{1, x(s)\}\}; \quad x \in C_o(S), \quad s \in S,$$

*is an optimal selection of the metric projection  $\mathcal{P}$  from  $C_o(S)$  into its unit ball  $B$ , and  $k_T(C_o(S)) = 1$ .*

As a final application of Theorem 5.1, we prove Ky Fan's *approximation principle* [3] for nonexpansive mappings  $F$  defined on an order interval  $J$  in  $L^\infty(S, \Sigma, \mu)$  (see [10] for related results and related references). For this purpose, recall that a mapping  $F : J \rightarrow X$  is said to be nonexpansive if  $\|Fx - Fy\| \leq \|x - y\|$  for all  $x, y \in J$ .

**Theorem 5.2.** *Let  $J$  be an order complete order interval in an abstract  $M$ -space  $X$  with a unit  $e$ , and let  $F : J \rightarrow X$  be a nonexpansive mapping. Then there exists an element  $x \in J$  such that*

$$\|Fx - x\| = \inf_{y \in J} \|Fx - y\|.$$

**Proof.** Since  $X$  is order isometric to  $C(Q)$  for some compact Hausdorff space  $Q$  [11, p.16], it follows from Theorem 5.1 that there exists an optimal selection  $T$  of the metric projection  $\mathcal{P} : X \rightarrow 2^J$  with  $k_T(X) = 1$ . Hence the mapping  $TF : J \rightarrow J$  is nonexpansive. Therefore, one can apply Borwein-Sims's fixed point theorem [1,

Theorem 7.1] to get a point  $x \in J$  such that  $TFx = x$ . Since  $T$  is a selection of the metric projection  $\mathcal{P}$  onto  $J$ , it follows that

$$\|Fx - x\| = \|Fx - T(Fx)\| \leq \|Fx - y\|$$

for all  $y \in J$ . Take infimum over  $y$  to complete the proof. ■

In the particular case when  $X = L^\infty(S, \Sigma, \mu)$ , the assumptions that  $J$  is order complete and that  $X$  has a unit  $e$  are superfluous and Sine's fixed point theorem [14] can be applied in the proof. Further, if  $J = B$  then the distance

$$d(Fx, B) = \inf_{y \in B} \|Fx - y\|,$$

occurring in Theorem 5.2, can be easily computed. Indeed, we have  $d(Fx, B) = 0$ , if  $Fx \in B$ , and  $d(Fx, B) = \|Fx - RFx\| = \|Fx\| - 1$ , otherwise. Finally, note that the results presented above remain true in a slightly more general case, when  $B = B(z, r)$  is a ball with a center  $z \in X$  and a radius  $r > 0$ . For example, the formulæ for the radial selection and its directional derivative should be translated to

$$Rx = z + r \frac{x - z}{\|x - z\|}$$

and

$$R'(x)h = \frac{rh - \tau(x - z, h)(Rx - z)}{\|x - z\|},$$

whenever  $x \notin B$  and  $h \in X$ .

#### REFERENCES

- [1] Borwien, J. M. and B. Sims, *Non-expansive mappings on Banach lattices and related topics*, Houston J. Math. 10 (1984), 339-356.
- [2] Diestel, J., *Geometry of Banach Spaces-Selected Topics*, Lecture Notes in Mathematics 485, Springer-Verlag, Berlin 1975.
- [3] Fan, K., *Extentions of two fixed point theorems of F. E. Browder*, Math. Z. 112 (1969), 234-240.
- [4] De Figueiredo, D. G. and L.A. Karlovitz, *On the radial projection in normed spaces*, Bull. Amer. Math. Soc. 73 (1967), 364-368.
- [5] Franchetti, C., *On the radial projection in Banach spaces*, in "Approximation Theory III", (E. W. Cheney, Ed.), pp.425-428, Academic Press, New York 1980.
- [6] Goebel, K. and T. Komorowski, *Retracting balls into spheres, and minimal displacement problems*, in "Fixed Point Theory and Applications" (M. A. Théra and J. B. Baillon, Eds), Longman Sci. Tech. New York 1991, 155-172.
- [7] James, R. C., *Orthogonality and linear functionals in normed linear spaces*, Trans. Amer. Math. Soc. 61 (1947), 265-292.
- [8] Kantorovich, L.V. and G.P. Akilov, *Functional Analysis*, Pergamon Press, Oxford 1982.
- [9] Korneicuk, N. P., *Extremal Problems of Approximation Theory*, Nauka, Moscow 1976.
- [10] Lin, T.C. and C.L. Yen, *Applications of the proximity map to fixed point theorems in Hilbert space*, J. Approx. Theory 52 (1988), 141-148.

- [11] Lindenstrauss, J. and L. Tzafriri, *Classical Banach Spaces II. Function Spaces*, Springer-Verlag, Berlin 1979.
- [12] Mazur, S., *Über konvexe Mengen in linearen normierte Räumen*, *Studia Math.* 4 (1933), 70-84.
- [13] Schwartz, L., *Geometry and Probability in Banach Spaces*, *Lecture Notes in Mathematics* 852, Springer-Verlag, Berlin 1981.
- [14] Sine, R. C., *On nonlinear contraction semigroups in sup norm spaces*, *Nonlinear Anal., Theory, Methods & Appl.* 3 (1979), 885-890.
- [15] Smarzewski, R., *On an inequality of Bynum and Drew*, *J. Math. Anal. Appl.* 150 (1990), 146-150.
- [16] Thele, R. L., *Some results on radial projection in Banach spaces*, *Proc. Amer. Math. Soc.* 42 (1974), 483-486.

Authors' address:

(received October 20, 1993)

Instytut Matematyki UMCS

Plac M. Curie Skłodowskiej 1

20-031 Lublin, Poland